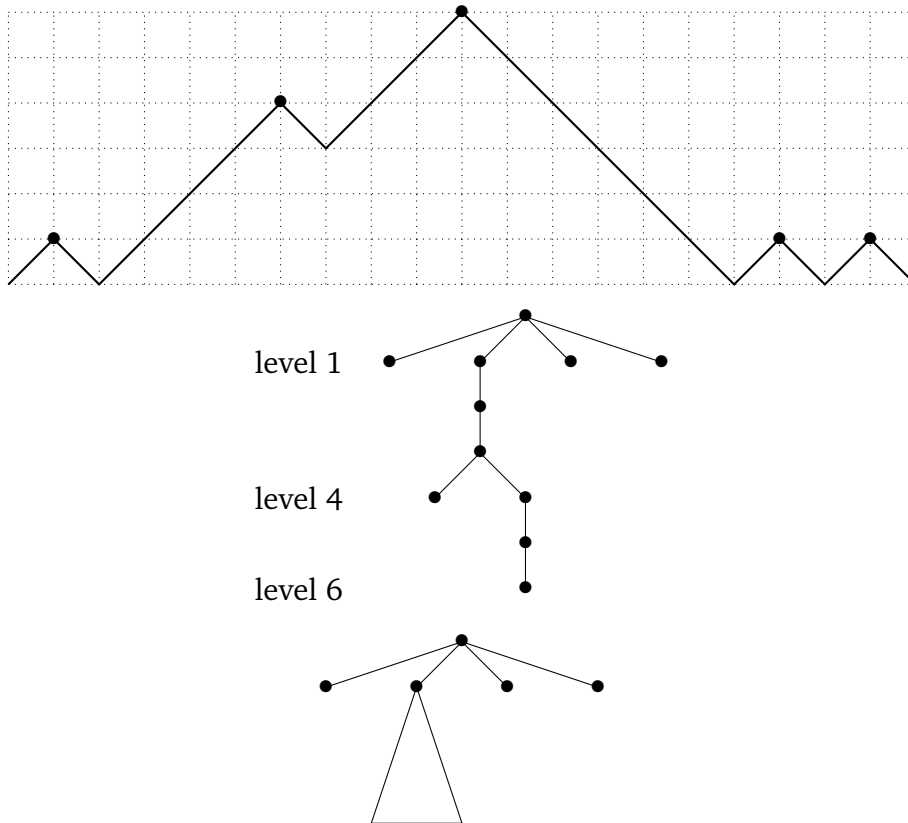


# RETAKH'S MOTZKIN PATHS AND SOME COMBINATORIAL COMMENTS

HELMUT PRODINGER

## 1. INTRODUCTION

V Retakh introduced the following restricted class of Dyck paths: Peaks are only allowed on level 1 and on even-numbered levels. Here is an example, and the corresponding plane tree using the standard bijection.



Ekhad and Zeilberger [3] proved a few days ago that these restricted paths are enumerated by Motzkin numbers. Recall that the generating function of the Motzkin numbers  $M(z)$  according to length satisfies  $M = 1 + zM + z^2M^2$  and thus

$$M(z) = \frac{1 - z - \sqrt{1 - 2z - 3z^2}}{2z^2}.$$

In this note, I want to present a few additional observations, also including the height of the paths (or the associated plane trees). First, we are going to confirm the connection to Motzkin paths:

Since the level 1 is somewhat special, we consider only trees as symbolized by the triangle. We will use two generating functions, to deal with the odd/even situation. We have

$$G = \frac{z}{1-F} \quad \text{and} \quad F = \frac{zG}{1-G}.$$

Solvin, we find  $G(z) = z^2M(z)$  and the total generating function is

$$\frac{z}{1-z} \sum_{r \geq 0} \left( \frac{G}{1-z} \right)^r = zM(z),$$

as predicted. Recall that the number of nodes in trees is always one more than the half-length of the corresponding Dyck path.

We will compute the average height of such restricted paths, using singularity analysis of generating functions, as in [4, 5].

## 2. THE HEIGHT

Now we will use the substitution  $z = \frac{\nu}{1+\nu+\nu^2}$ , which occurred for the first time in [8], but has been used more recently in different models where Motzkin numbers are involved [9, 7, 1], although within different models. For example, simply  $M(z) = 1 + z + z^2$ . We define

$$F_{k+1} = \frac{z}{1 - \frac{zF_k}{1-F_k}}, \quad \text{with} \quad F_1 = z.$$

There is a simple formula, viz.

$$F_k = \frac{\nu}{1+\nu} \frac{1-\nu^{2k}}{1-\nu^{2k+1}}.$$

This is easy to prove by induction. And then

$$G_k = \frac{zF_k}{1-F_k} = \frac{\nu^2}{1+\nu+\nu^2} \frac{1-\nu^{2k}}{1-\nu^{2k+2}}.$$

For  $k \geq 1$ ,  $G_k$  is the generating function of trees (like in the triangle) of height  $\leq 2k$ .

Note that the height is currently counted in terms of nodes;

$$G_1 = \frac{z^2}{1-z},$$

which describes a root with  $l \geq 1$  leaves attached to the root.

Now we incorporate the irregular beginning of the tree and compute

$$\frac{z}{1-z} \sum_{r \geq 0} \left( \frac{G_h}{1-z} \right)^r = \frac{z}{1-z} \frac{1}{1 - \frac{G_h}{1-z}} = \nu \frac{1-\nu^{2h+2}}{1-\nu^{2h+4}}.$$

From here onwards it seems to be more natural to define the height of the whole tree in terms of the number of *edges*, and then the quantity we just derived is the generating function of all trees with height  $\leq 2h$ , for  $h \geq 1$ . Note that the limit  $h \rightarrow \infty$  gives us simply  $v = zM(z)$ , which is consistent. There is also a contribution of trees of height  $\leq 1$ , namely  $\frac{z}{1-z} = \frac{v}{1+v^2}$ , but this term is, when we compute the average height, irrelevant and only contributes to the error term, as we only compute only the leading term, which is of order  $\sqrt{n}$ .

So, apart from normalization, we are led to investigate

$$\begin{aligned} \sum_{h \geq 1} 2h \left[ v \frac{1-v^{2h+2}}{1-v^{2h+4}} - v \frac{1-v^{2h}}{1-v^{2h+2}} \right] &= 2v(1-v^{-2}) \sum_{h \geq 1} h \left[ \frac{v^{2h+4}}{1-v^{2h+4}} - \frac{v^{2h+2}}{1-v^{2h+2}} \right] \\ &= 2v(1-v^{-2}) \sum_{h \geq 0} h \frac{v^{2h+4}}{1-v^{2h+4}} - 2v(1-v^{-2}) \sum_{h \geq 0} (h+1) \frac{v^{2h+4}}{1-v^{2h+4}} \\ &= -2v + \frac{2(1-v^2)}{v} \sum_{h \geq 1} \frac{v^{2h}}{1-v^{2h}}. \end{aligned}$$

Note that we could get explicit coefficients form here, using trinomial coefficient,  $\binom{n,3}{k} = [v^k](1+v+v^2)^n$  (notation from [2]) This has to be expanded around  $v = 1$ , which is a standard application of the Mellin transform. Details are worked out in [6], for example:

$$\sum_{h \geq 1} \frac{v^{2h}}{1-v^{2h}} = \sum_{k \geq 1} d(k)v^{2k} \sim -\frac{\log(1-v^2)}{1-v^2} \sim -\frac{\log(1-v)}{2(1-v)}.$$

Note that  $d(k)$  is the number of divisors of  $k$ . Consequently

$$-2v + \frac{2(1-v^2)}{v} \sum_{h \geq 1} \frac{v^{2h}}{1-v^{2h}} \sim -2 \log(1-v).$$

We have  $1-v \sim \sqrt{3}\sqrt{1-3z}$ , and  $z = \frac{1}{3}$  is the relevant singularity when discussing Motzkin numbers. We can continue

$$-2v + \frac{2(1-v^2)}{v} \sum_{h \geq 1} \frac{v^{2h}}{1-v^{2h}} \sim -\log(1-3z).$$

The coefficient of  $z^n$  in this is  $\frac{3^n}{n}$ . This has to be divided by

$$[z^n]zM(z) = [z^{n-1}]M(z) \sim \frac{3^{n+\frac{1}{2}}}{2\sqrt{\pi n^{3/2}}},$$

with the final result for the average height of the restricted Dyck paths:

$$\sim 2\sqrt{\frac{\pi n}{3}}.$$

Recall [8] that the average height of Motzkin paths of length  $n$  is asymptotic to

$$\sqrt{\frac{\pi n}{3}}.$$

## REFERENCES

- [1] Benjamin Hackl, Clemens Heuberger, and Helmut Prodinger. The  $B$ -project. 2020.
- [2] Louis Comtet. *Advanced combinatorics*. D. Reidel Publishing Co., Dordrecht, enlarged edition, 1974. The art of finite and infinite expansions.
- [3] Shalosh B. Ekhad, Doron Zeilberger, Automatic Counting of Restricted Dyck Paths via (Numeric and Symbolic) Dynamic Programming arXiv:2006.01961, 2020
- [4] Philippe Flajolet and Andrew Odlyzko. Singularity analysis of generating functions. *SIAM J. Discrete Math.*, 3(2):216–240, 1990.
- [5] P Flajolet and R. Sedgewick, *Analytic Combinatorics*, Cambridge University Press, 2009.
- [6] Clemens Heuberger, Helmut Prodinger, and Stephan Wagner. The height of multiple edge plane trees. *Aequationes Math.*, 90(3):625–645, 2016.
- [7] Benjamin Hackl, Clemens Heuberger, Helmut Prodinger, Ascents in Non-Negative Lattice Paths, arXiv:1801.02996, 2018.
- [8] Helmut Prodinger. The average height of a stack where three operations are allowed and some related problems. *J. Combin. Inform. System Sci.*, 5(4):287–304, 1980.
- [9] H. Prodinger, Deutsch paths and their enumeration, submitted (2020).

HELMUT PRODINGER, DEPARTMENT OF MATHEMATICAL SCIENCES, STELLENBOSCH UNIVERSITY, 7602 STELLENBOSCH, SOUTH AFRICA

*Email address:* hproding@sun.ac.za