

ON THE AVERAGE OSCILLATION OF THE CONTOUR OF MONOTONICALLY LABELLED ORDERED TREES

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Summary

The paper deals with ordered trees the nodes of which are labelled by elements of $\{1,2,\dots,k\}$ such that any sequence of labels connecting the root with a leaf is weakly monotone. Considering the leaves to be enumerated from left to right an asymptotic result on the average height of the j -th MIN-turn, i.e. the root of the subtree of minimal height with leaves $j, j+1$, for fixed j and large node number n is derived. Combined with a result of ⁹ on the average height of the j -th leaf, the theorem gives information on the average oscillation of the contour of monotonically labelled ordered trees.

1. Introduction

A large number of recent papers in Discrete Mathematics deal with the problem of the determination of the average shape of rooted tree structures. Compare e.g. ^{1,2,5,7,11,12,14}. These problems have also proved to be applicable in the analysis of special algorithms (see ⁸).

In some of the new work of the authors it has turned out to be interesting to investigate generalized classes of tree structures which are derived from binary trees, t -ary trees, ordered trees etc. by means of a monotone labelling of the nodes of the tree: Consider the nodes labelled by elements of $\{1,2,\dots,k\}$ in such a way that any sequence of labels connecting the root with a leaf is weakly monotone. In ¹³ Prodinger and Urbanek have considered the problem of finding asymptotic equivalents to the numbers of such tree structures, in ¹⁰ the average height of monotonically labelled binary trees has been investigated and in ⁹ the average height of the j -th leaf (where leaves are enumerated from left to right) has been established for certain families.

In the present paper these considerations are completed by a more detailed study of the contour of monotonically labelled ordered trees. Our results contain some of the material of Kemp's investigation ⁶ on the average oscillation of a stack during postorder traversing of a binary tree as a special case.

The mathematical apparatus to handle the problems in question is influenced by some methods and ideas of ⁹ and seems to give a quite fitting framework of studying some of the already published results in a shorter and probably more legible fashion.

Adopting the notation of Kemp we use the terms MAX-turn and MIN-turn of ordered trees, where the MAX-turns are just the leaves of the tree (i.e. the nodes having no son), which are assumed to be enumerated from left to right by the natural numbers. The j -th MIN-turn is defined as the root of the (uniquely determined) subtree which has exactly the two leaves j and $j+1$. For example consider the following tree with MAX-turns 1,2,3,4 and MIN-turns 1',2',3':

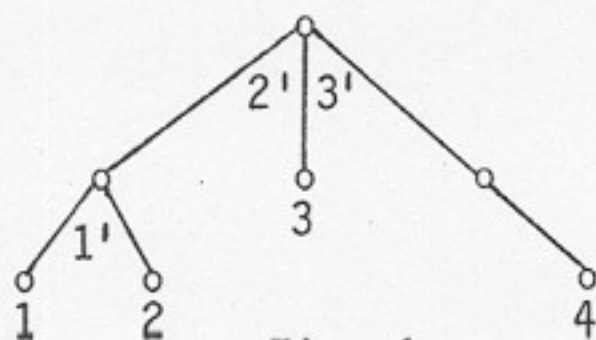


Fig. 1

The level number of a MAX- or MIN-turn is the number of nodes in the chain connecting the root with the turn in question.

In the paper ⁹ the following result has been established: Let B_k be the family of ordered trees with nodes labelled monotonically of $\{1,2,\dots,k\}$. The average level number of the j -th leaf (i.e. the j -th MAX-turn) of the trees of B_k with exactly n nodes (where all such trees are regarded equally likely) converges for $n \rightarrow \infty$ to a finite limit $\alpha_{MAX}(k,j)$, which can be determined explicitly for small values of k and has the following asymptotic behaviour:

$$\alpha_{MAX}(k,j) \sim C(k) \cdot j^{1/2} \quad (j \rightarrow \infty)$$

The constants $C(k)$ can be expressed in terms of some "characteristic quantities" of the family B_k , which have been studied extensively in the paper ¹³. This allows to describe the asymptotic behaviour of $C(k)$ as

$$C(k) \sim C \cdot k^{-1/2} \quad (k \rightarrow \infty)$$

with a constant C independent from k .

For the following considerations we will use the cited results of ⁹, but it will turn out to be necessary to study the asymptotic behaviour of $\alpha_{MAX}(k,j)$ more in detail by establishing a result of the type

$$\alpha_{MAX}(k,j) = C_1(k) \cdot j^{1/2} + C_2(k) + O(j^{-1/2}) \quad (j \rightarrow \infty) \quad (1)$$

Furthermore we introduce the average level numbers $\alpha_{MIN}(k,j)$ of the j -th MIN-turn of the trees of B_k with exactly n nodes "for large n " (that is we consider the limit of the concerned numbers for $n \rightarrow \infty$), as above in the definition of $\alpha_{MAX}(k,j)$. We will prove that

$$\alpha_{MAX}(k,j) \sim \alpha_{MIN}(k,j) \quad (j \rightarrow \infty)$$

and determine the asymptotic behaviour of the difference

$$\alpha_{MAX}(k,j) - \alpha_{MIN}(k,j) \quad (j \rightarrow \infty)$$

(which is just the "oscillation of the contour of the trees) by means of the above mentioned result (1) and a corresponding estimation

$$\alpha_{MIN}(k,j) = C_1(k) \cdot j^{1/2} + C_3(k) + O(j^{-1/2}) \quad (j \rightarrow \infty)$$

for the MIN-turns.

In the special case $k=1$ our results yield just the previously cited theorem of Kemp, which in our terminology reads

$$\alpha_{MAX}(1,j) = \frac{8}{\sqrt{2\pi}} \cdot j^{1/2} + 1 + O(j^{-1/2})$$

and

$$\alpha_{MIN}(1,j) = \frac{8}{\sqrt{2\pi}} \cdot j^{1/2} - 1 + O(j^{-1/2})$$

Remark. For the sake of brevity we will frequently use the symbol "*" as to indicate that the concerned relation is valid if all "*"s are replaced by "MAX" or all are replaced by "MIN".

2. The average oscillation of ordered trees

Using the suggestive terminology of Flajolet \approx the families B_k of ordered trees with nodes labelled monotonically k by elements of $\{1, 2, \dots, k\}$ may be defined by the formal equations

$$\begin{aligned} \tilde{B}_0 &= \emptyset \\ B_k &= \tilde{B}_{k-1} + \textcircled{1} + \begin{array}{c} \textcircled{1} \\ | \\ B_k \end{array} + \begin{array}{c} \textcircled{1} \\ / \backslash \\ B_k \quad B_k \end{array} + \dots \end{aligned} \quad (2.1)$$

where \tilde{B}_k is the family with labels taken from $\{2, \dots, k+1\}$. The corresponding generating functions $y_k(z)$ fulfill

$$y_0 = 0, \quad y_k = y_{k-1} + \frac{z}{1-y_k} \quad (k \geq 1) \quad (2.2)$$

Let $y_{k;n,\lambda}^*$ be the number of trees in B_k with exactly n nodes and λ $*$ -turns (for the symbol $*$ compare the remark at the end of the introduction). It is useful to define the following generating functions:

$$\begin{aligned} y_{k,j}^*(z,u) &:= \sum_{n \geq 1, \lambda \geq j} y_{k;n,\lambda}^* z^n u^\lambda \\ y_{k,j}^*(z) &:= y_{k,j}^*(z,1) \\ y_k^*(z,u) &:= y_{k,0}^*(z,u) \end{aligned} \quad (2.3)$$

Of course we have

$$\begin{aligned} y_{k,j}^{\text{MAX}}(z,u) &= u \cdot y_{k,j-1}^{\text{MIN}}(z,u) \quad \text{and} \\ y_k(z) &= y_{k,1}^{\text{MAX}}(z) = y_{k,0}^{\text{MIN}}(z). \end{aligned} \quad (2.4)$$

The following recursions hold:

$$\begin{aligned} y_{k,j}^{\text{MAX}}(z) &= y_{k-1,j}^{\text{MAX}}(z) + z \cdot \delta_{j,1} + \\ &+ z \sum_{t \geq 1} \sum_{r=0}^{t-1} y_k(z)^{t-1-r} \sum_{i=0}^{j-1} \langle (y_k^{\text{MAX}}(z,u))^r, u^i \rangle y_{k,j-i}^{\text{MAX}}(z), \end{aligned} \quad (2.5)$$

$$\begin{aligned} y_{k,j}^{\text{MIN}}(z) &= y_{k-1,j}^{\text{MIN}}(z) + \\ &+ z \sum_{t \geq 1} \sum_{r=0}^{t-1} y_k(z)^{t-1-r} \sum_{i=0}^{j-1-r} \langle (y_k^{\text{MIN}}(z,u))^r, u^i \rangle y_{k,j-1-r}^{\text{MIN}}(z) \\ &+ z \sum_{t \geq 2} \sum_{r=1}^{t-1} y_k(z)^{t-r} \langle (y_k^{\text{MIN}}(z,u))^r, u^{j-r} \rangle, \quad (j \geq 1) \end{aligned}$$

where $\langle f(u), u^i \rangle$ denotes the coefficient of u^i in the (formal) power series $f(u)$.

The first recursion is proved in \S (4.6); for the second recursion observe that a tree in B_k may either have a root labelled by an element of $\{2, \dots, k\}$, which constitutes the first term, or it starts with a root labelled by 1. In the latter case there are 2 possibilities for the position of the MIN-turn: either the j -th MIN-turn is situated within the $r+1$ -st subtree of the root, which yields the second term ($0 \leq r \leq t-1$), or the j -th MIN-turn lies in the root itself, which constitutes the third term.

Next we consider the generating functions $v_{k,j}^{*,[h]}(z)$ of trees in B_k with at least j $*$ -turns and with a level number of the j -th $*$ -turn which is less or equal to h . By a similar argument as above we have

$$\begin{aligned} v_{k,j}^{\text{MAX},[h+1]}(z) &= v_{k-1,j}^{\text{MAX},[h+1]}(z) + z \cdot \delta_{j,1} + \\ &+ z \sum_{t \geq 1} \sum_{r=0}^{t-1} y_k(z)^{t-1-r} \sum_{i=0}^{j-1} \langle (y_k^{\text{MAX}}(z,u))^r, u^i \rangle v_{k,j-i}^{\text{MAX},[h]}(z), \\ v_{k,j}^{\text{MIN},[h+1]}(z) &= v_{k-1,j}^{\text{MIN},[h+1]}(z) + \\ &+ z \sum_{t \geq 1} \sum_{r=0}^{t-1} y_k(z)^{t-1-r} \sum_{i=0}^{j-1-r} \langle (y_k^{\text{MIN}}(z,u))^r, u^i \rangle v_{k,j-i-r}^{\text{MIN},[h]}(z) \\ &+ z \sum_{t \geq 2} \sum_{r=1}^{t-1} y_k(z)^{t-r} \langle (y_k^{\text{MIN}}(z,u))^r, u^{j-r} \rangle \quad (j \geq 1) \end{aligned} \quad (2.6)$$

with

$$v_{k,j}^{\text{MAX},[0]}(z) = v_{k,j}^{\text{MIN},[0]}(z) = 0.$$

Let

$$H_{k,j}^*(z) := \sum_{h \geq 0} (y_{k,j}^*(z) - v_{k,j}^{*,[h]}(z)); \quad H_k^*(z,u) := \sum_{j \geq 1} H_{k,j}^*(z) u^j. \quad (2.7)$$

The coefficient of z^n in these power series is just the sum of level numbers of the j -th $*$ -turn.

In \S (4.12) the following recursion is established:

$$\begin{aligned} H_k^{\text{MAX}}(z,u) \cdot \frac{\Delta_{k-1}^{\text{MAX}}(z,u) + z(1-u)}{\Delta_k^{\text{MAX}}(z,u)} &= \\ &= H_{k-1}^{\text{MAX}}(z,u) + \frac{u}{1-u} (\Delta_k^{\text{MAX}}(z,u) - \Delta_{k-1}^{\text{MAX}}(z,u)) \end{aligned} \quad (2.8)$$

where

$$\Delta_i^{\text{MAX}}(z,u) := y_i(z) - y_i^{\text{MAX}}(z,u). \quad (2.9)$$

Subtracting recursions (2.5) and (2.6) for the MIN-turns and summing up we obtain

$$\begin{aligned} H_k^{\text{MIN}}(z,u) \cdot \left[1 - \frac{z}{1 - u y_k^{\text{MIN}}(z,u)} \cdot \frac{1}{1 - y_k(z)} \right] &= \\ &= H_{k-1}^{\text{MIN}}(z,u) + \frac{u}{1-u} (\Delta_k^{\text{MIN}}(z,u) - \Delta_{k-1}^{\text{MIN}}(z,u)) \end{aligned} \quad (2.10)$$

where

$$\Delta_i^{\text{MIN}}(z,u) := y_i(z) - y_i^{\text{MIN}}(z,u). \quad (2.11)$$

Observing

$$u y_k^{\text{MIN}}(z,u) = y_k^{\text{MAX}}(z,u),$$

$$u \Delta_i^{\text{MIN}}(z,u) = \Delta_i^{\text{MAX}}(z,u) + (u-1) \cdot y_i(z)$$

and

$$1 - \frac{z}{1 - y_k^{\text{MAX}}(z,u)} \cdot \frac{1}{1 - y_k(z)} = \frac{\Delta_{k-1}^{\text{MAX}}(z,u) + z(1-u)}{\Delta_k^{\text{MAX}}(z,u)}$$

(compare \S (7.11f)) recursion (2.10) is transformed into

$$\begin{aligned} H_k^{\text{MIN}} \cdot \frac{\Delta_{k-1}^{\text{MAX}} + z(1-u)}{\Delta_k^{\text{MAX}}} &= \\ &= H_{k-1}^{\text{MIN}} + \frac{1}{1-u} (\Delta_k^{\text{MAX}} - \Delta_{k-1}^{\text{MAX}}) - (y_k(z) - y_{k-1}(z)). \end{aligned} \quad (2.12)$$

So we have

$$H_k^{\text{MIN}} = \frac{1}{u} \cdot H_k^{\text{MAX}} - G_k \quad (2.13)$$

where the functions $G_k(z,u)$ fulfill

$$G_0 = 0 \quad (2.14)$$

$$G_k \cdot \frac{\Delta_{k-1}^{\text{MAX}} + z(1-u)}{\Delta_k^{\text{MAX}}} = G_{k-1} + (y_k(z) - y_{k-1}(z))$$

and therefore

$$G_k(z,u) = \sum_{i=1}^k (y_i(z) - y_{i-1}(z)) \prod_{j=i}^k \frac{\Delta_j^{\text{MAX}}}{\Delta_{j-1}^{\text{MAX}} + z(1-u)}. \quad (2.15)$$

In the paper ¹³ it has been shown that the (algebraic) singularities q_k nearest to the origin of the functions $y_k(z)$ obey the following recursion:

$$q_1 = 1/4$$

$$q_{i+1}^{-1} = q_i^{-1} + q_i + 2 \quad (2.16)$$

and the functions $y_k(z)$ behave like

$$y_k(z) = y_k(q_k) - a_k \cdot (q_k - z)^{1/2} + O(q_k - z), \quad (z \rightarrow q_k^-). \quad (2.17)$$

From this it is not difficult to conclude that the behaviour of the functions $H_{k,j}^*$ is of the following type:

$$H_{k,j}^*(z) = H_{k,j}^*(q_k) - a_{k,j}^* \cdot (q_k - z)^{1/2} + O(q_k - z). \quad (2.18)$$

The asymptotic behaviour of the coefficients follows now by a theorem of Darboux (see e.g. ^{4,11}) to be

$$\langle y_k, z^n \rangle \sim \frac{a_k}{2} \cdot \left(\frac{q_k}{\pi}\right)^{1/2} \cdot q_k^{-n} \cdot n^{-3/2}, \quad (2.19)$$

$$\langle H_{k,j}^*, z^n \rangle \sim \frac{a_{k,j}^*}{2} \cdot \left(\frac{q_k}{\pi}\right)^{1/2} \cdot q_k^{-n} \cdot n^{-3/2} \quad (n \rightarrow \infty).$$

The desired average level numbers of the j -th $*$ -turn "for large n " (compare the comments in the introduction) are therefore given by

$$a_*(k,j) = \lim_{n \rightarrow \infty} \frac{\langle H_{k,j}^*, z^n \rangle}{\langle y_k, z^n \rangle} = \frac{a_{k,j}^*}{a_k}. \quad (2.20)$$

We introduce the generating functions

$$A_k^*(u) := \sum_{j \geq 1} a_*(k,j) \cdot u^j. \quad (2.21)$$

Relations (2.20) may be rewritten now in shorter form:

$$a_k \cdot A_k^*(u) = \lim_{z \rightarrow q_k^-} \frac{H_k^*(q_k, u) - H_k^*(z, u)}{(q_k - z)^{1/2}}. \quad (2.22)$$

By relation (2.13) we have

$$A_k^{\text{MIN}}(u) = \frac{1}{u} \cdot A_k^{\text{MAX}}(u) - B_k(u) \quad (2.23)$$

where $B_k(u)$ is defined by the relation

$$a_k \cdot B_k(u) = \lim_{z \rightarrow q_k^-} \frac{G_k(q_k, u) - G_k(z, u)}{(q_k - z)^{1/2}}. \quad (2.24)$$

In the following we will expand the functions $A_k(u)$ as

$$A_k^{\text{MAX}}(u) = \frac{\alpha_k}{(1-u)^{3/2}} + \frac{\beta_k}{1-u} + O((1-u)^{-1/2}), \quad (2.25)$$

$$B_k(u) = \frac{\gamma_k}{1-u} + O((1-u)^{-1/2}), \quad (u \rightarrow 1^-).$$

In ⁹ (4.17) $A_k^{\text{MAX}}(u)$ is established to be

$$A_k^{\text{MAX}}(u) = \frac{H_{k-1}^{\text{MAX}}(q_k, u)}{\Delta_{k-1}^{\text{MAX}}(q_k, u) + q_k(1-u)} + \frac{u}{1-u} \cdot \frac{2\Delta_k^{\text{MAX}}(q_k, u) - \Delta_{k-1}^{\text{MAX}}(q_k, u)}{\Delta_{k-1}^{\text{MAX}}(q_k, u) + q_k(1-u)} \quad (2.26)$$

and (see ⁹ (4.22))

$$\alpha_k = 2 \cdot q_k^{-1/4} \cdot (q_k + d_{k-1})^{-1/2}, \quad (2.27)$$

where $(i \leq k-1)$

$$d_i = \frac{\partial y_i^{\text{MAX}}(z, u)}{\partial u} \Big|_{u=1, z=q_k} = q_k \cdot \sum_{r=1}^i \prod_{j=1}^r \frac{1}{1-q_j} = q_k \cdot \sum_{r=1}^i [q]_r, \quad \text{with } [q]_r := \prod_{j=1}^r \frac{1}{1-q_j}. \quad (2.28)$$

(Compare ⁹(4.25)).

With the first identity for d_i it is obvious that

$$\Delta_{k-1}^{\text{MAX}}(q_k, u) = d_{k-1} \cdot (1-u) + O((1-u)^2). \quad (2.29)$$

Therefore we have by (2.26)

$$\beta_k = \frac{H_{k-1}^{\text{MAX}}(q_k, 1)}{d_{k-1} + q_k} + \omega_k, \quad (2.30)$$

where ω_k is the coefficient of $(1-u)^0$ in the expansion of

$$\frac{2\Delta_k^{\text{MAX}}(q_k, u) - \Delta_{k-1}^{\text{MAX}}(q_k, u)}{\Delta_{k-1}^{\text{MAX}}(q_k, u) + q_k(1-u)}. \quad (2.31)$$

In ⁹(4.21) it is shown that

$$\Delta_k^{\text{MAX}}(q_k, u) = q_k^{1/4} \cdot (q_k + d_{k-1})^{1/2} \cdot (1-u)^{1/2} + C_k \cdot (1-u) + O((1-u)^{3/2}). \quad (2.32)$$

The constant C_k can be determined in the following way: By the definition of Δ_k^{MAX} and formula (4.5) of ⁹ we have

$$\begin{aligned} \Delta_k^{\text{MAX}}(q_k, u) &= y_k(q_k) - y_k^{\text{MAX}}(q_k, u) = \\ &= y_k(q_k) - \frac{1}{2} + \frac{1}{2}q_k(1-u) - \frac{1}{2}y_{k-1}(q_k, u) + \\ &+ \frac{1}{2}\sqrt{(1+q_k(1-u) - y_{k-1}(q_k, u))^2 - 4q_k} \end{aligned}$$

and therefore

$$C_k = \frac{q_k + d_{k-1}}{2}. \quad (2.33)$$

As an immediate consequence of (2.29) and (2.32) we get

$$\omega_k = \frac{2C_k - d_{k-1}}{d_{k-1} + q_k} \quad (2.34)$$

In order to complete the computation of β_k it remains to determine $H_{k-1}^{\text{MAX}}(q_k, 1)$: From recursion (2.8) for the functions H_i^{MAX} we get

$$H_{k-1}^{\text{MAX}}(q_k, u) = \frac{u}{1-u} \sum_{i=1}^{k-1} (\Delta_i^{\text{MAX}}(q_k, u) - \Delta_{i-1}^{\text{MAX}}(q_k, u)) \prod_{j=i}^{k-1} \frac{\Delta_j^{\text{MAX}}(q_k, u)}{\Delta_{j-1}^{\text{MAX}}(q_k, u) + q_k(1-u)}$$

and therefore

$$H_{k-1}^{\text{MAX}}(q_k, 1) = \sum_{i=1}^{k-1} (d_i - d_{i-1}) \prod_{j=i}^{k-1} \frac{d_j}{d_{j-1} + q_k}$$

By (2.24) we have

$$q_k + d_{i-1} = d_i \cdot (1 - q_{k-i}) \quad (2.35)$$

and thus

$$\beta_k = \frac{1}{d_{k-1} + q_k} \left[q_k + \sum_{i=1}^{k-1} (d_{k-i} - d_{k-i-1}) \cdot [q]_i \right]$$

By (2.28)

$$d_{k-i} - d_{k-i-1} = q_k \cdot [q]_{k-i},$$

so that finally

$$\beta_k = \frac{1 + \sum_{i=1}^{k-1} [q]_{k-i} [q]_i}{1 + \sum_{i=1}^{k-1} [q]_i} \quad (2.36)$$

The defining relation for $B_k(u)$, (2.24) and recursion (2.14) for the functions G_k yield

$$B_k(u) = \frac{G_{k-1}(q_k, u)}{\Delta_{k-1}^{\text{MAX}}(q_k, u) + q_k(1-u)} + \frac{y_k(q_k) - y_{k-1}(q_k)}{\Delta_{k-1}^{\text{MAX}}(q_k, u) + q_k(1-u)} + \frac{\Delta_k^{\text{MAX}}(q_k, u)}{\Delta_{k-1}^{\text{MAX}}(q_k, u) + q_k(1-u)} \quad (2.37)$$

Now

$$y_k(q_k) - y_{k-1}(q_k) = q_k^{1/2},$$

so that we find

$$B_k(u) = \frac{1}{1-u} \left[\frac{G_{k-1}(q_k, 1)}{d_{k-1} + q_k} + \frac{q_k^{1/2}}{d_{k-1} + q_k} \right] + O((1-u)^{-1/2}) \quad (2.38)$$

By (2.15)

$$G_{k-1}(q_k, 1) = \sum_{i=1}^{k-1} (y_i(q_k) - y_{i-1}(q_k)) \prod_{j=i}^{k-1} \frac{d_j}{d_{j-1} + q_k}$$

Using again (2.35) and

$$y_i(q_k) - y_{i-1}(q_k) = (q_k \cdot q_{k-i})^{1/2}$$

this is simplified to

$$G_{k-1}(q_k, 1) = q_k^{1/2} \cdot \sum_{i=1}^{k-1} q_i^{1/2} \cdot [q]_i \quad (2.39)$$

Putting everything together

$$B_k(u) = \frac{\gamma_k}{1-u} + O((1-u)^{-1/2})$$

with

$$\gamma_k = q_k^{-1/2} \cdot \frac{1 + \sum_{i=1}^{k-1} q_i^{1/2} \cdot [q]_i}{1 + \sum_{i=1}^{k-1} [q]_i} \quad (2.40)$$

Formulas (2.23) and (2.25) yield

$$A_k^{\text{MAX}}(u) = \frac{\alpha_k}{(1-u)^{3/2}} + \frac{\beta_k}{1-u} + O((1-u)^{-1/2}), \quad (2.41)$$

$$A_k^{\text{MIN}}(u) = \frac{\alpha_k}{(1-u)^{3/2}} + \frac{\beta_k - \gamma_k}{1-u} + O((1-u)^{-1/2})$$

and therefore we get by Darboux's theorem cited above

$$\alpha_{\text{MAX}}(k, j) = \frac{2}{\sqrt{\pi}} \cdot \alpha_k \cdot j^{1/2} + \beta_k + O(j^{-1/2}), \quad (2.42)$$

$$\alpha_{\text{MIN}}(k, j) = \frac{2}{\sqrt{\pi}} \cdot \alpha_k \cdot j^{1/2} + \beta_k - \gamma_k + O(j^{-1/2}).$$

We summarize our results in the following

THEOREM 1. The average level number of the j -th MAX-(MIN)-turn of an ordered tree with n nodes labelled monotonically by elements of $\{1, 2, \dots, k\}$ has the following asymptotic behaviour "for large n ":

$$\alpha_{\text{MAX}}(k, j) = C_1(k) \cdot j^{1/2} + C_2(k) + O(j^{-1/2}),$$

$$\alpha_{\text{MIN}}(k, j) = C_1(k) \cdot j^{1/2} + C_3(k) + O(j^{-1/2}), \quad (j \rightarrow \infty)$$

where

$$C_1(k) = \frac{4}{\sqrt{\pi} q_k^{1/2} (1 + \sum_{i=1}^{k-1} [q]_i)},$$

$$C_2(k) = \frac{1 + \sum_{i=1}^{k-1} [q]_{k-i} [q]_i}{1 + \sum_{i=1}^{k-1} [q]_i}$$

and

$$C_3(k) = C_2(k) - \frac{1}{\sqrt{q_k}} \cdot \frac{1 + \sum_{i=1}^{k-1} q_i^{1/2} [q]_i}{1 + \sum_{i=1}^{k-1} [q]_i}$$

with

$$[q]_i = \prod_{j=1}^i \frac{1}{1 - q_j},$$

and the numbers q_i fulfill the recursion

$$q_{i+1}^{-1} = q_i^{-1} + q_i + 2, \quad q_1 = 1/4.$$

This result may be interpreted in the following way: The average difference between the level numbers of a MAX-turn and the consecuting MIN-turn (i.e. the average oscillation of the contour of the tree) is given asymptotically by

$$\alpha_{MAX}(k,j) - \alpha_{MIN}(k,j) = \gamma_k + O(j^{-1/2}), (j \rightarrow \infty) \quad (2.43)$$

with γ_k from formula (2.40).

We start with some examples: The instance $k=1$ is just the case studied in Kemp's paper :

Using the formulas from above we have

$$C_1(1) = \frac{8}{\sqrt{\pi}}, \quad C_2(1) = 1, \quad C_3(1) = -1,$$

and therefore the average oscillation is given by

$$\alpha_{MAX}(1,j) - \alpha_{MIN}(1,j) = 2 + O(j^{-1/2}).$$

In the case $k=2$, which is the case of monotone Boolean labelling, we get

$$C_1(2) = 4\sqrt{\frac{15}{14\pi}}, \quad C_2(2) = \frac{25}{21}, \quad C_3(2) = -\frac{25}{42}$$

and for the average oscillation

$$\alpha_{MAX}(2,j) - \alpha_{MIN}(2,j) = \frac{25}{14} + O(j^{-1/2}).$$

The asymptotic behaviour of the constants γ_k can be established as follows.

THEOREM 2. As $k \rightarrow \infty$,

$$\gamma_k = \frac{3}{2} + O\left(\frac{\log k}{k}\right).$$

Proof.

In ¹³ it has been shown that the sequence (q_k) fulfills

$$q_k = \frac{1}{2k} + O\left(\frac{\log k}{k^2}\right). \quad (2.44)$$

Defining $p_k := q_k^{-1}$ it follows that

$$p_k = 2k + O(\log k).$$

In order to derive one further term of the asymptotic expansion we set

$$p_k = 2k + r_k.$$

Inserting this into the recursion

$$p_{k+1} = p_k + 2 + p_k^{-1},$$

we find

$$p_k = 2k + \frac{1}{2} \log k + O(1). \quad (2.45)$$

In the next step we treat the terms $[q]_i$:

$$\log [q]_i = \log [q]_{i-1} - \log(1 - q_i)$$

and by (2.45)

$$= \log [q]_{i-1} + \frac{1}{2i} - \frac{\log i}{8i^2} + O\left(\frac{1}{i^2}\right),$$

so that by a standard summation method

$$\log [q]_i = \frac{1}{2} \log i + C + \frac{\log i}{8i} + O\left(\frac{1}{i}\right).$$

where C denotes some constant. Taking exponentials we obtain with some constant D

$$[q]_i = D \cdot i^{1/2} + D \cdot \frac{\log i}{8i^{1/2}} + O\left(\frac{1}{i^{1/2}}\right). \quad (2.46)$$

Summing up

$$\sum_{i=1}^{k-1} [q]_i = D \cdot \frac{2}{3} \cdot k^{3/2} + O(k^{1/2} \cdot \log k) \quad (2.47)$$

and

$$\sum_{i=1}^{k-1} q_i^{1/2} \cdot [q]_i = \sum_{i=1}^{k-1} \frac{1}{\sqrt{2i}} \left(1 - \frac{\log i}{8i} + O\left(\frac{\log^2 i}{i^2}\right)\right).$$

$$\cdot \left(D \cdot i^{1/2} + D \cdot \frac{\log i}{8i^{1/2}} + O\left(\frac{1}{i^{1/2}}\right)\right)$$

$$= \frac{D}{\sqrt{2}} \cdot k + O(\log k). \quad (2.48)$$

Inserting these results in formula (2.40) for γ_k , we find

$$\begin{aligned} \gamma_k &= \sqrt{2k} \left(1 + O\left(\frac{\log k}{k}\right)\right) \cdot \frac{\frac{D}{\sqrt{2}} \cdot k + O(\log k)}{D \cdot \frac{2}{3} \cdot k^{3/2} + O(k^{1/2} \cdot \log k)} \\ &= \frac{3}{2} + O\left(\frac{\log k}{k}\right) \end{aligned}$$

and the Theorem is proved.

The asymptotic behaviour of $C_1(k)$ resp. $C_2(k)$ can be established in a similar way.

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